

# DATA PROTECTION form 2

## APPLICATION FOR INCLUSION OF A RESEARCH PROJECT ON THE DATA PROTECTION REGISTRATION

This form should be used to Register Research Projects that will be supported by UCL facilities, it should be completed in full and returned to the UCL Data Protection Officer before Data Collection commences.

If the Data has been collected from an organisation outside UCL then the Patient, or Subject, of the Data must have given their consent to both the collection of the Data and the transfer of the Data to UCL. A copy of the Patient Consent Form must be attached to this application. If you are not obtaining Patient Consent then an explanation must be attached.

Please forward this form directly to the UCL Data Protection Officer, at the address below

DEPARTMENT			
Name of Principal Researcher(Student Supervisor)			
Name of Data Collector and User			
Please state here the Title of your Research Project			
Are you: Applying to the UCL Ethics Committee for Ethics Permission? Yes No			
How will the Data be stored? Electronic Database			
Paper files			
What Security Checks do you have in place? Password on Electronic Database?			
Locked Filing Cabinet?			
Have you registered your Database with your Departments' Comput Representative?  Yes  No	er		

#### Have you informed your Departments' Data Protection Co-ordinator about your project? Yes No

How long will	l your project last?	·

The following are the standard Data Protection Registration Purposes and Descriptions, if your research is not covered by these please add the information at the end:

Please indicate using a  $(\sqrt{})$  what is applicable to your research project

### Personal Data will be collected from the following Data Subjects: (Who will you be collecting the data from)?

Survey respondents, other persons assisting research

**Patients** 

Patients families

Employees, trainees, voluntary workers

Employees of associated companies, organisations

Employees of other organisations

Recipients, customers or clients for goods or services

(direct or indirect)

Suppliers of goods or services (direct or indirect)

Claimants, beneficiaries, payees

Account holders

Share and stock holders

Partners, directors, other senior officers

**Employers** 

Competitors

Business or other contacts

Advisers, consultants, professional and other experts

Agents, other intermediaries

Trustees

Members, supporters a club, society, institution Assignees, guarantors, other parties with legitimate contractual or business interest

Donors and lenders

Complainants

Witnesses

Offenders and suspected offenders

**Tenants** 

Landlords, owners of property

Correspondents and enquirers

Self-employed persons

Unemployed persons

Retired persons

Students

Minors

Applicants for permits, licences, registration

Taxpayers, ratepayers

Licence holders

Vehicle keepers

Elected representatives, other holders of public office

Authors, publishers, editors, artists, other creators

Immigrants, foreign nationals

Relatives, dependants, friends, neighbours, referees,

associates, contacts of any of those ticked above

Other:

### **Description of Personal Data to be collected:**

(What data will you be collecting)?

### **Identification data**

Personal identifiers Financial identifiers Identifiers issued by public bodies

### **Personal characteristics**

Personal details Physical description Habits Personality, character

### **Family circumstances**

Current marriage or partnership Marital history Details of other family, household members Other social contacts

### **Social circumstances**

Accommodation or housing
Property, professions
Immigration status
Travel, movement details
Leisure activities, interests
Lifestyle
Membership of voluntary, charitable bodies
Public offices held
Licences, permits held
Complaint, incident, accident details
Court, tribunal, inquiry proceedings

### **Education, Skills, Profession**

Academic record
Qualification and skills
Membership of professional bodies
Professional expertise
Membership of committees
Publications
Student record

### **Employment details**

Current employment
Recruitment details
Termination details
Career history
Work record
Health & safety record
Trade union, staff association membership
Payment, deductions
Property held by employee
Work management details
Work assessment details
Training record
Security details

### **Financial details**

Income, assets, investments Liabilities, outgoings Creditworthiness Loans, mortgages, credits Allowances, benefits, grants Insurance details Pension details

### **Details of transactions**

Goods, services provided to the data subject Goods, services obtained from the data subject Financial transactions Compensation

### **Business information**

Business activities of the data subject Agreements, contracts Trading licences held

### Health and other classes

Physical health record
Mental health record
Disabilities, infirmities
Dietary, other special health requirements
Sexual life
Racial, ethnic origin
Motoring convictions
Other convictions
Criminal intelligence
Political opinions
Political party membership
Support for pressure groups
Religious beliefs
Other beliefs

### Other:

### **Miscellaneous information**

References to manual files, records Uncategorised information

### The Data and, or, the results of the Research will be Disclosed to: Individuals or Organisations directly associated with the Data Subjects

The Data Subjects themselves
Family, relatives, guardians, trustees
Other members of their households, friends, neighbours
Employers - past, current or prospective
Employees, agents
Colleagues, business associates
Legal representatives
Financial representatives
Legal representatives
Financial representatives
Foctors, Dentists, other advisers
Social, spiritual, welfare, advice workers
Other professional advisers
Landlords

### Individuals or Organisations directly associated with the Data User

Research Sponsors
Funding Agencies
Ethics Committees
Members, including shareholders
Other companies in the same group
Employees, agents
Recipients, customers, clients for goods or services

Claimants, beneficiaries, assignees, payees Suppliers, providers of goods or services Persons making any enquiry or complaint Tenants

### Other:

### **Organisation or individuals (general description)**

### **Central Government**

Inland Revenue
Customs & Excise
Driver & Vehicle Licensing Centre (DVLC)
Department of Education & Sciences (DES)
Departments of Health and/or Social Security
Department of Employment
Home Office
Ministry of Defence, including armed forces
Other central government, including Scottish,
Welsh & Northern Ireland Offices

### **Local Government**

Education department Housing department Social Services department Electoral registration, Assessment, Valuation departments

### Other public bodies

Other public bodies not elsewhere specified Foreign governments and authorities

### **Justice**

Police forces
Prosecuting authorities
Other statutory law enforcement agencies,
Investigating bodies
The courts
Judges, magistrates
Prison service
Probation service

### Health & Social welfare

Health authorities, family practitioner committees, or Family Health Service Authorities Hospitals, nursing homes Registered medical practitioners Registered dental practitioners Nurses, midwives, health visitors Other health care agencies, practitioners

### Other

Public utilities
Banks
Building societies
Insurance companies
Other financial organisations
Accountants & auditors

Lawyers Credit reference agencies Debt collection, tracing agencies Employment, recruitment agencies Private detective agencies, security organisations Trade, employers associations Trade unions, staff associations Professional bodies Voluntary, charitable, religious organisations or associations Political organisations Education or training establishments, examining bodies Survey or research organisations, workers Providers of publicly available information, including public libraries, press and media Providers of privately available information and databanks Traders in personal data Other organisation or individuals

### Other:

### Will the results of your Research be published in an Academic Journal or other Publication?

Yes No

Please note that if you do publish the results of your Research they must not contain data by which an individual can be identified.

### **B4.** Overseas Transfer

The Eighth Data Protection Principle.

Personal data shall not be transferred to a country or territory outside the European Economic Area unless that country or territory ensures an adequate level of protection for the rights and freedoms of data subjects in relation to the processing of personal data.

The European Economic Area consists of the fifteen member states of the European Union together with Iceland, Liechtenstein and Norway. Data may be transferred within the European Economic Area without restrictions.

If you are collecting the Data in a country outside the E.E.A. please attach a copy of the Data Protection Registration in that country.

If you intend to transfer the Data to any other country or territory, please give details below and attach a copy of your agreement with the recipient.

Address for correspondence regarding this application:

This form will be returned to you with the appropriate UCL Data Registration References, which you may quote on your Ethics Application Form, or any other related forms.

# **UCL Data Protection Registration Reference No: Section:**

This form can be submitted by Email to:

data-protection@ucl.ac.uk

or in hard copy to:

The UCL Data Protection Officer. The Records Office. South Junction, Wilkins Building Gower Street London WC1E 6BT

Any queries regarding this form please contact:

Tel: 020 7679 0166 (UCL ext. 30166)