



# **Unweaving the Rainbow—**

*Sensitivity to Stimulus Phase and Polarity in the  
Human Frequency Following Response*

Dr. Steve J Aiken

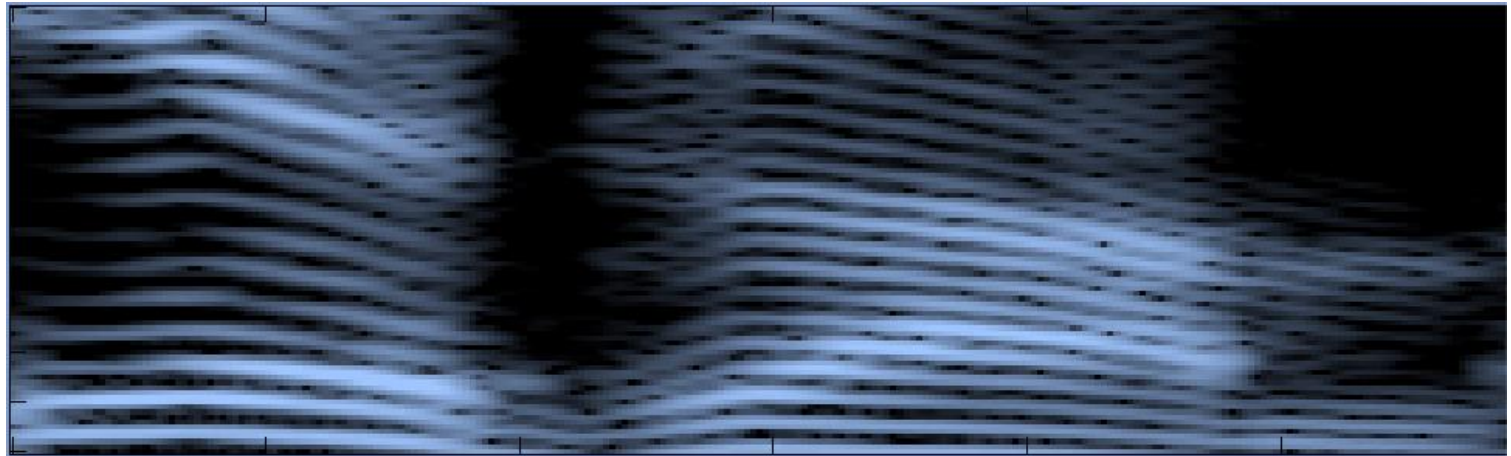
# Beautiful in Form – Shows Spectrum



4000

Hz

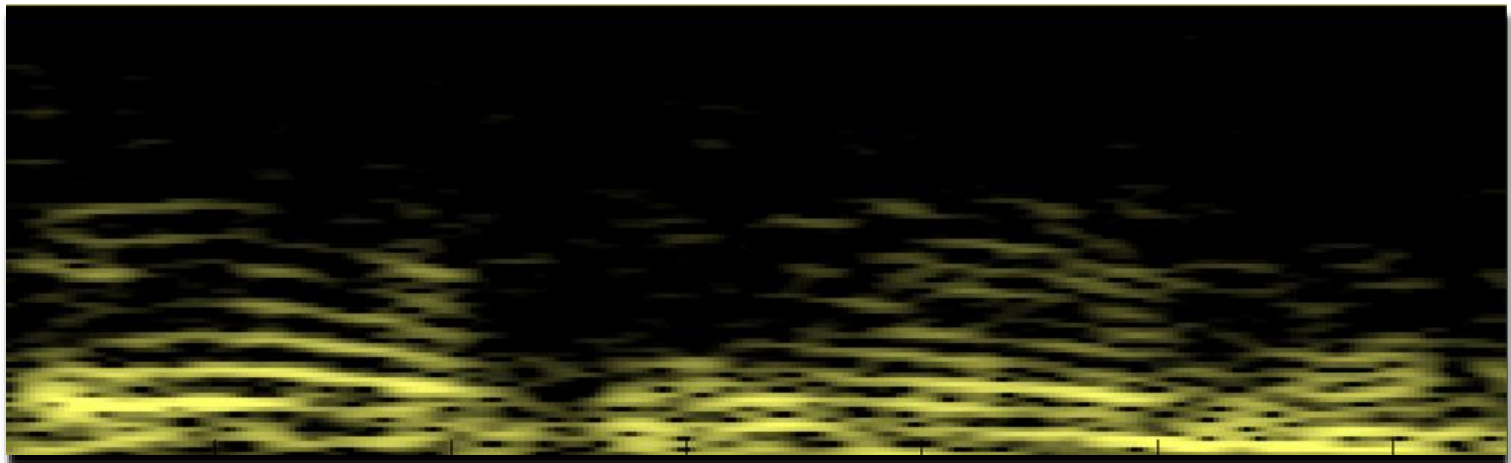
0



4000

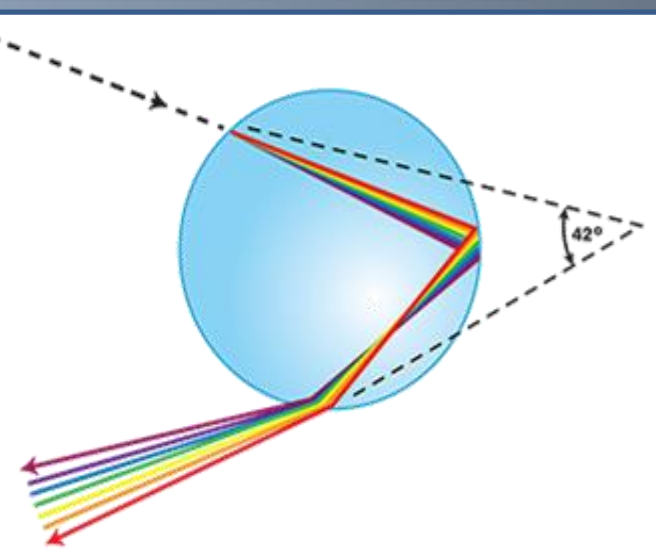
Hz

0



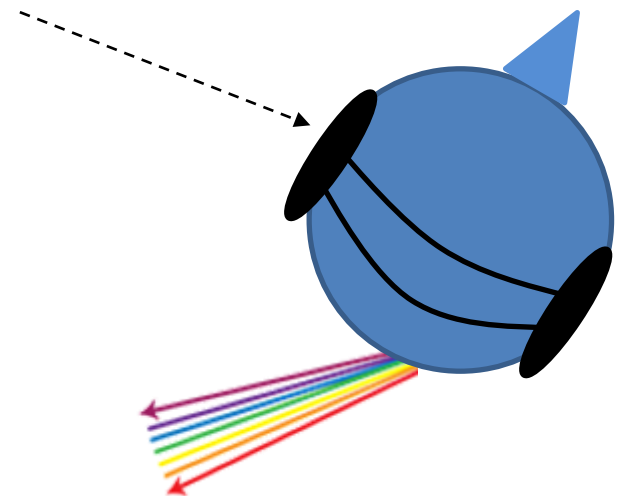
# No Two People Ever See the Same Rainbow

- A rainbow is about a relationship between an observer and a light source, with a medium of diffraction



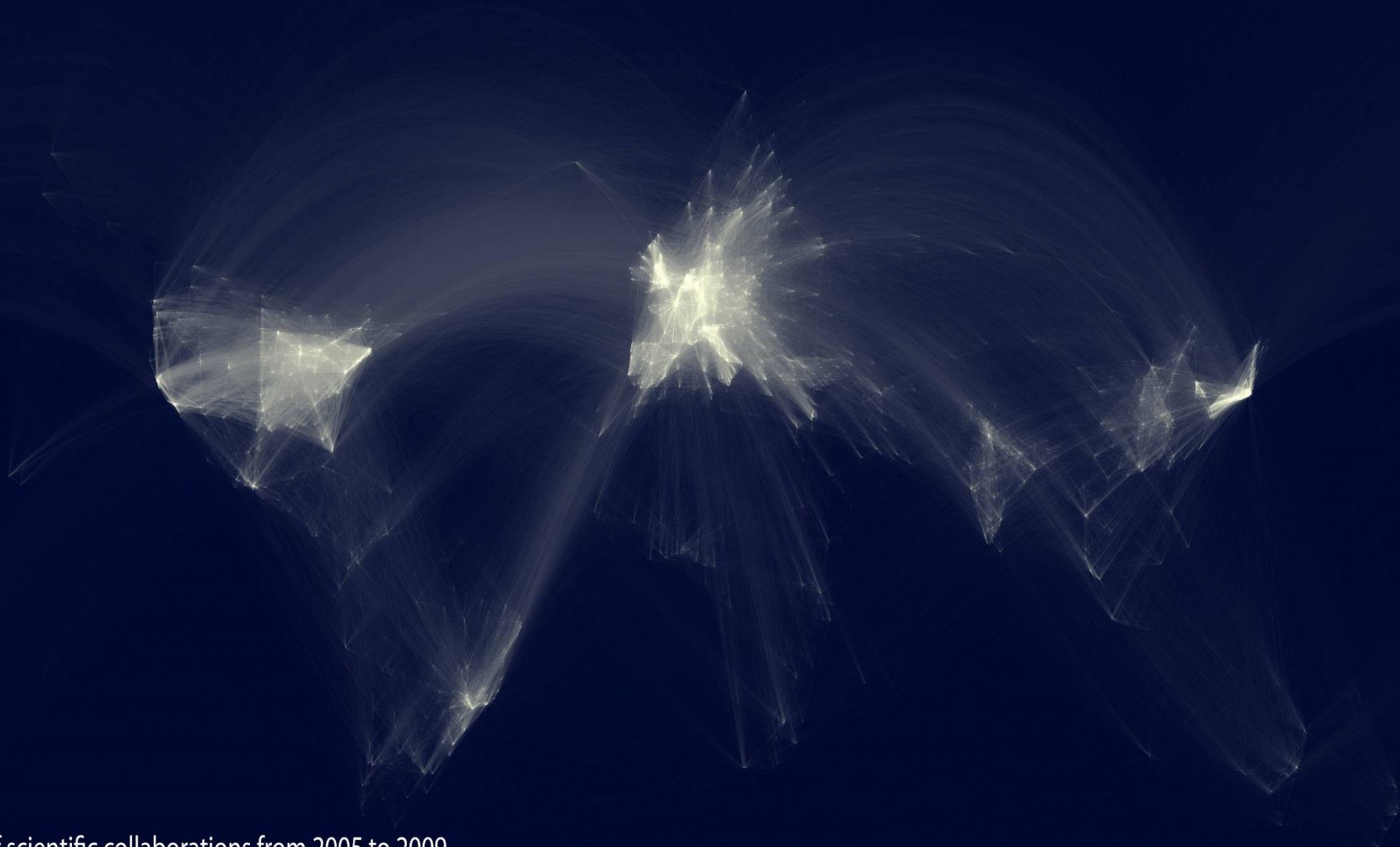
<http://scijinks.jpl.nasa.gov/rainbow/>

- The FFR is about a relationship between a voltage fluctuation and a sound source, with a medium of neural synchrony





# Halifax in Relationship



Map of scientific collaborations from 2005 to 2009

Computed by Olivier H. Beauchesne @ Science-Metrix, Inc.

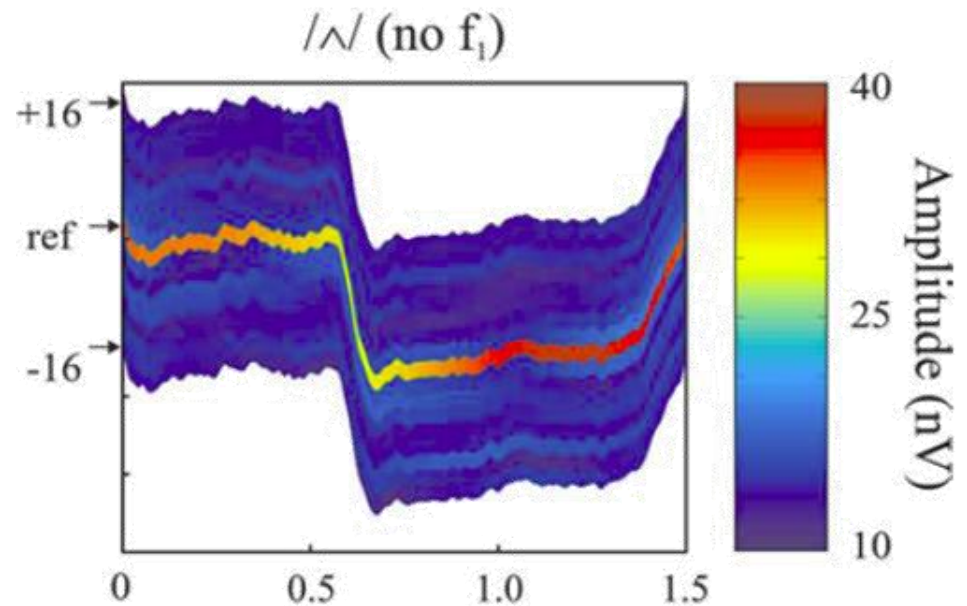
Data from Scopus, using books, trade journals and peer-reviewed journals

# FFR Understood in Relationship to Stimulus 'Followed'

FFR in stimulus-independent view  
(voltage x time)



FFR amplitude in  
relationship to vocal  $f_0$   
→ synchrony understood  
via the stimulus-response  
relationship



# Why “Unweave the Rainbow” that is the FFR?

1. Estimate speech **audibility** in infants wearing hearing aids

2. Assess suprathreshold **auditory processing**

- suprathreshold distortion or “SNR Loss” often present with normal thresholds and no known lesions

*(Grant et al., Ear Hear, 2013, Plomp, J Speech Hear Res 1986, Strelcyk & Dau, 2009)*

- not entirely an auditory issue

*(Humes et al., J Am Acad Audiol, 2012; Moore et al., Int J Audiol, 2013)*

- but there are auditory factors found to be related to SNR loss, such as temporal fine-structure (TFS) processing

*(Buss et al., Ear Hear 2004; Hopkins & Moore, J Acoust Soc Am, 2009; Lorenzi et al., Proc Nat Acad Sci USA, 2006; Strelcyk & Dau, J Acoust Soc Am, 2009; Summers et al., Ear Hear 2013)*

# FFR and SNR Loss

- excitotoxic overstimulation damages ribbon synapses and AN fibers in mice (*Kujawa & Liberman, J Neurosci, 2009*) and guinea pigs (*Liu et al., PLoS One, 2012*)
  - may selectively damage low-SR fibers which are important for speech understanding in noise, and the FFR might be an ideal tool for assessing this (*Bharadwaj et al, Front Sys Neurosci, 2014*)
- Brainstem responses phase-locked to speech fundamental frequency ( $f_0$ ) have been found to be correlated with:
  - better speech-in-noise scores with competing speech—less SNR loss (*Anderson et al., Hear Res, 2010; Ruggles et al., Proc Nat Acad Sci, 2011; Song et al., J Cog Neurosci, 2011*)
  - musical experience (*Krishnan et al., Neuroreport, 2012*), which is also related to lower SNR loss (*Alain et al., Hear Res, 2013*)
  - short term auditory training (*Skoe et al., Neurobiol Learn Mem, 2014*)

# Let's get started: How does the FFR relate to Speech?

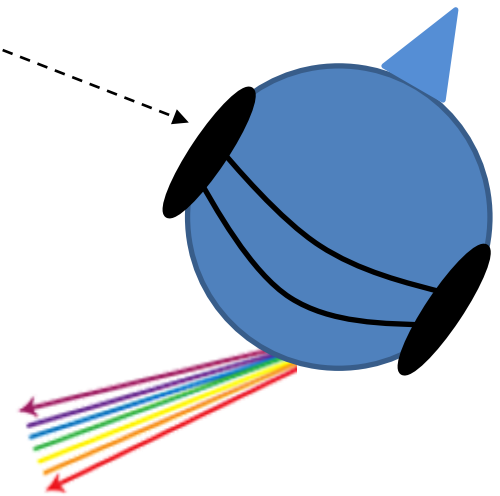
- **Speech is comprised of three types of temporal information** *(Rosen, Phil Trans Biol Sci, 1992)*

1. low-frequency spectro-temporal 'envelope' (2-8 Hz)
2. 'periodicity' information (100-400 Hz)
3. temporal fine-structure (multiples of periodicity frequency)

- **The FFR can be decomposed into several types of information**

*(Aiken & Picton, Hear Res, 2008; Greenberg et al., Hear Res, 1987)*

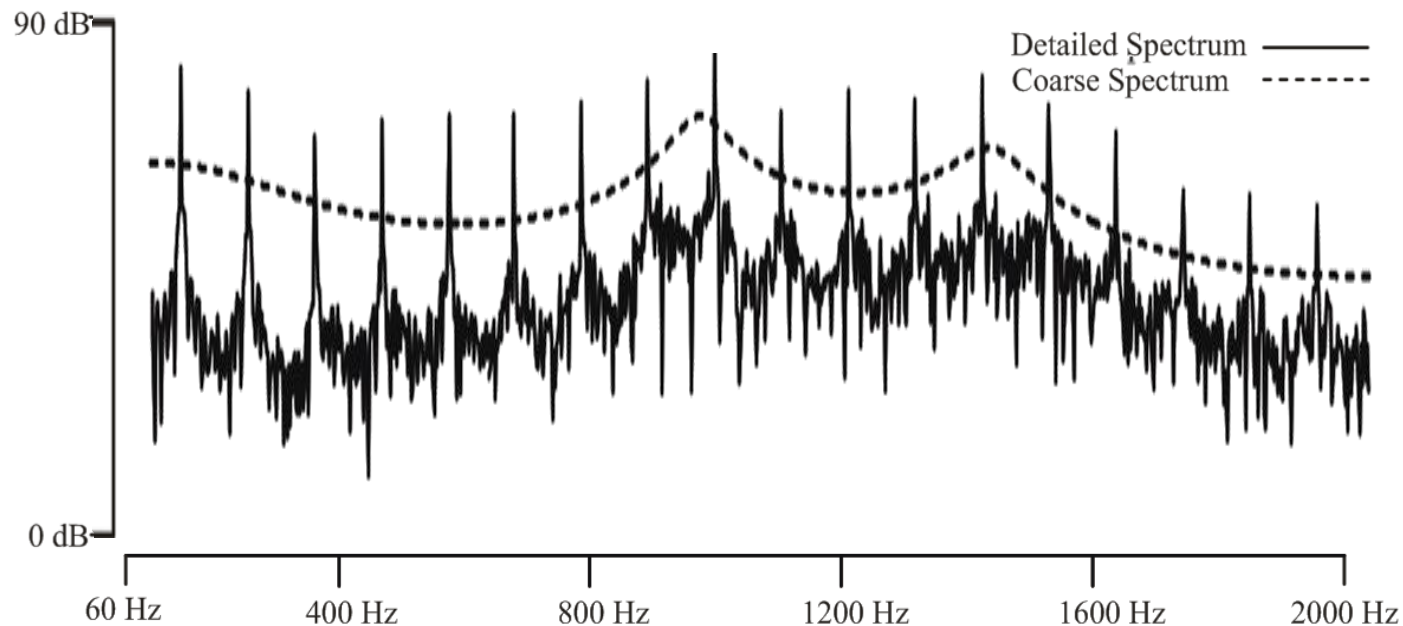
1. a response to periodicity envelope
2. a response to fine-structure





# Formants (Envelope) Harmonics (TFS) in Speech

/a/ vowel



- Harmonics are inherently periodic—produced by the sawtooth-like vocal fold movement
- What role does each play?

# Auditory Chimeras *(see Smith et al., Nature, 2002)*

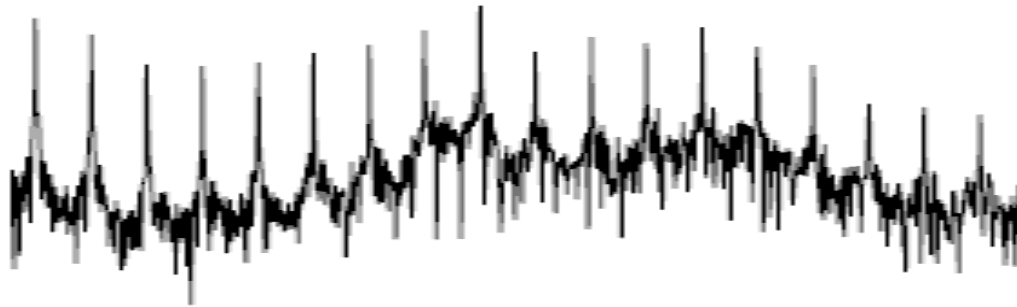


# TFS in Speech vs TFS Processing

- Removing 'TFS' from speech doesn't test temporal FS processing, because resolved components also give rise to distinct excitation peaks
- The speech-FFR is an objective measure of temporal processing of the speech fine-structure and the periodicity envelope
- Behavioral Methods for TFS Processing Assessment:
  - low-rate FM detection, with superimposed random AM  
*(Moore & Sek, J Acoust Soc Am, 1996; Strelcyk & Dau, J Acoust Soc Am 2009; Summers et al., J Am Acad Audiol, 2013)*
  - lateralization *(Strelcyk & Dau, J Acoust Soc Am, 2009)*
  - binaural masked detection *(Strelcyk & Dau, J Acoust Soc Am, 2009)*
  - discrimination of frequency-shifted unresolved tone complexes  
*(Moore & Sek, J Acoust Soc Am, 2009ab)*

# What about the periodicity envelope?

- Harmonic signals have components that are linearly spaced, but frequency spacing in the cochlea is logarithmic

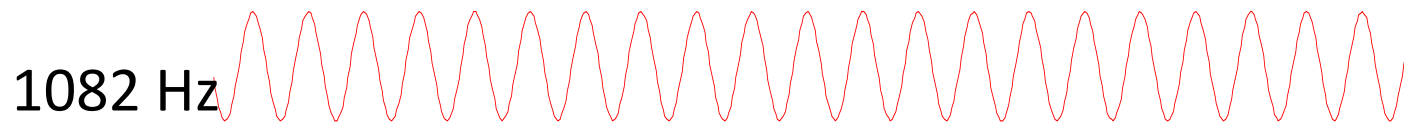
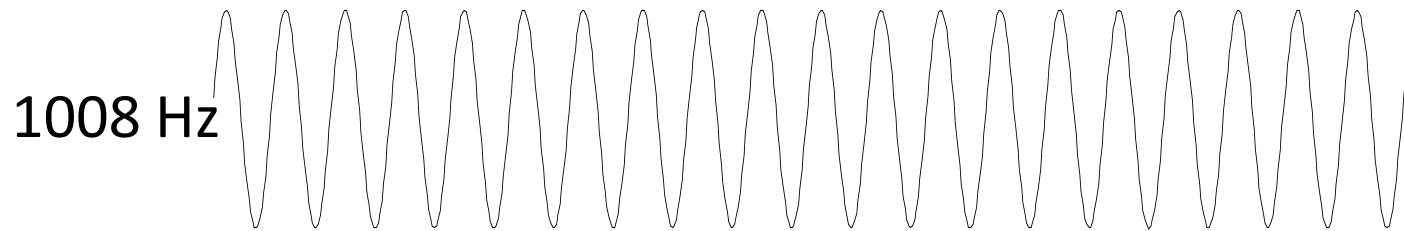


- the first 7/8 harmonics are fully resolved, giving rise to distinct peaks in the basilar membrane displacement pattern (*Oxenham et al., J Acoust Soc Am, 2009*)
- harmonics  $> 7/8$  will create overlapping displacement patterns on BM, and these fine-structure interactions give rise to the 'periodicity envelope'



# Interactions Give Rise to Periodicity Envelope

- simple case: a sinusoidal amplitude modulation is a center 'carrier' frequency and two sidebands (e.g., 1008 Hz with 74 Hz AM)

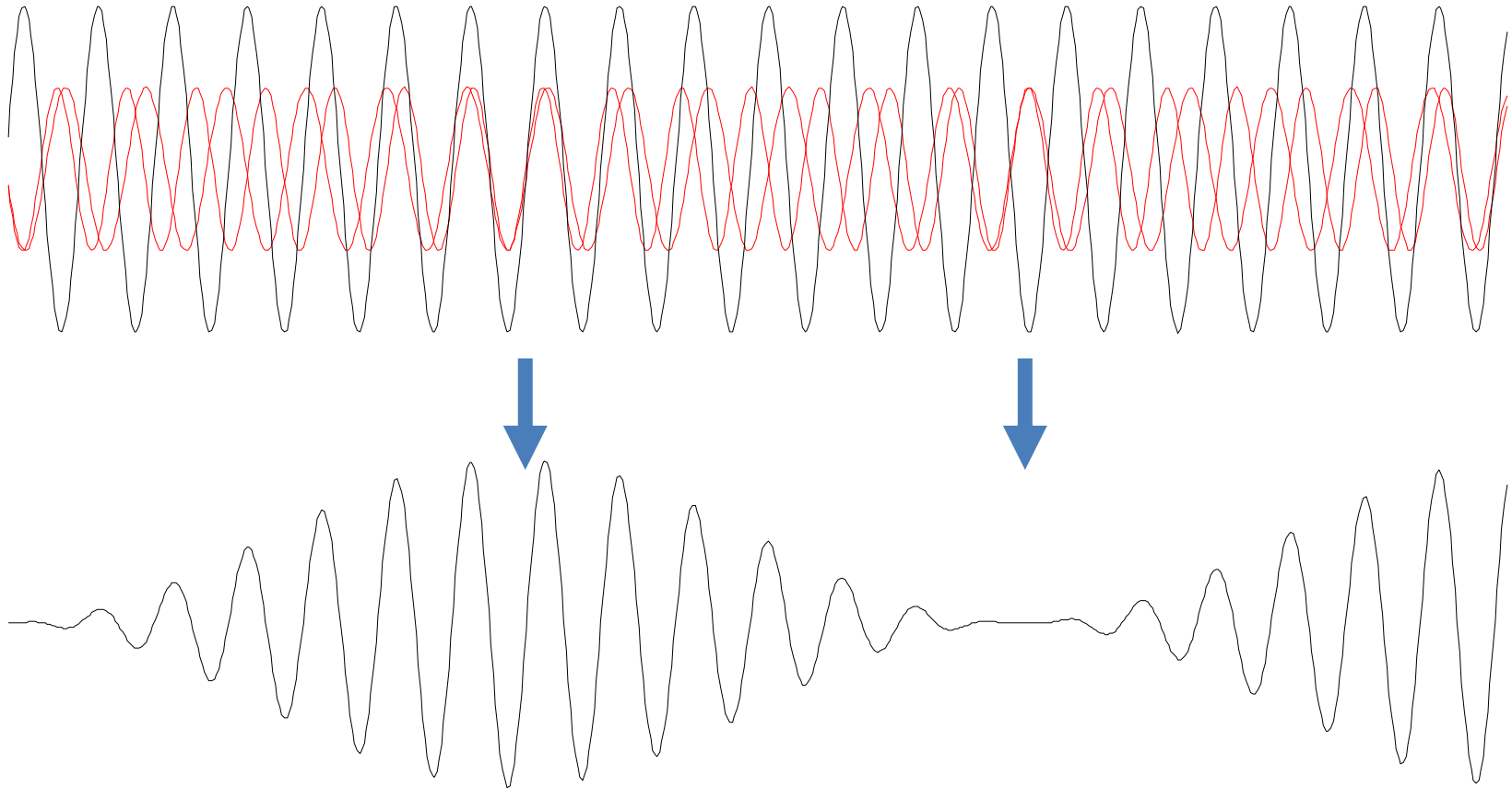


# The Sum of the Components is Modulated

tones add constructively

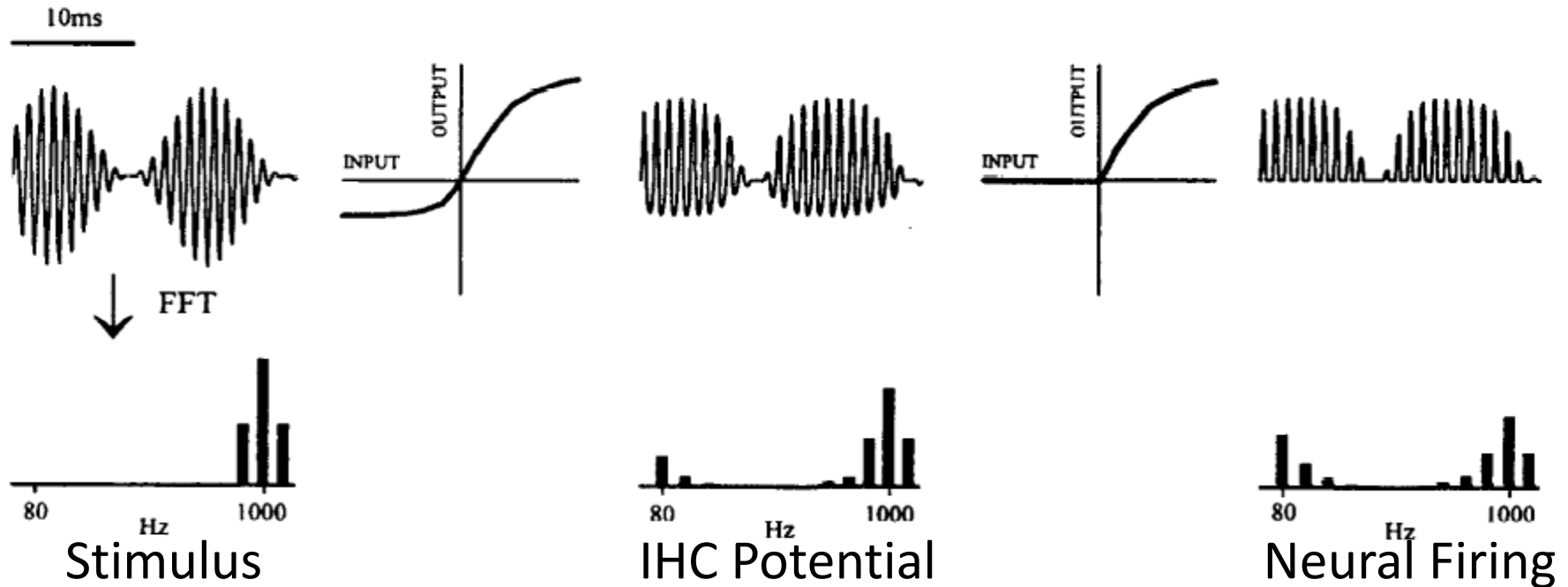


tones add destructively



**The sum is NOT present in the signal**

# What Underlies the Summation?

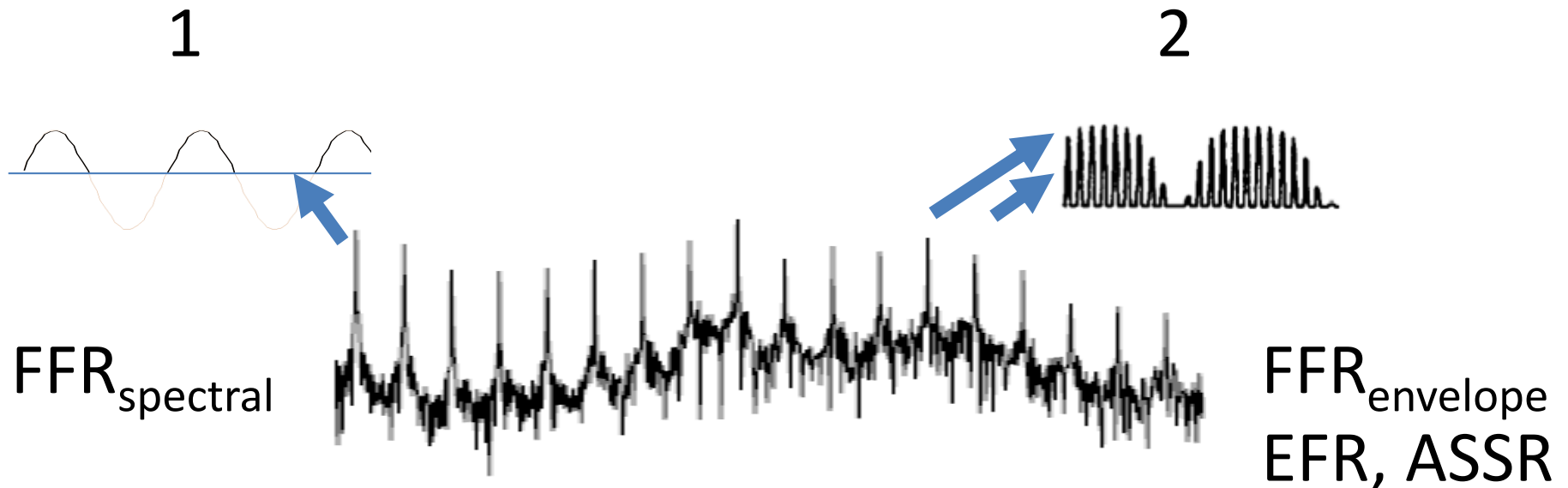


*from Lins et al., J Acoust Soc Am, 1995*

these non-linearities induce energy at the modulation frequency (when they overlap at single inner hair cells / AN fibers)

# Responses to Fine Structure in Harmonic Signals

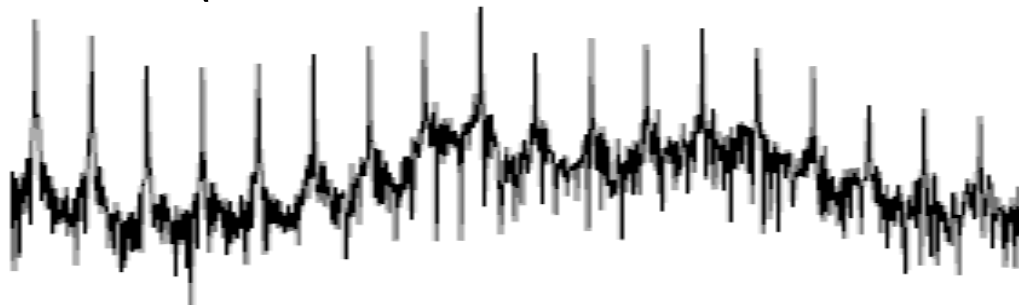
1. temporal information for fully resolved harmonics → phase-locking to resolved component
2. temporal information for unresolved harmonics → multiple frequencies and their sum (i.e., the periodicity envelope)





# What is there to unweave?

- Harmonic signals like speech give rise to a variety of (often overlapping) responses to different things:
  1. spectral FFR to resolved periodic components, esp. near formant peaks (e.g., a 200 Hz harmonic  $\rightarrow$  200 Hz response)
  2. responses to cochlear distortion products, which occur at harmonic frequencies (e.g.,  $2f_1 - f_2 \dots 2(300) - 400 = 200$  Hz; *see Elsisy & Krishnan, 2008*)
  3. responses to envelopes introduced by unresolved harmonics (e.g., envelope from 2200 and 2400 Hz = 200 Hz)
  4. cochlear microphonic
  5. signal artifact (current induced on electrode leads)



# Tools

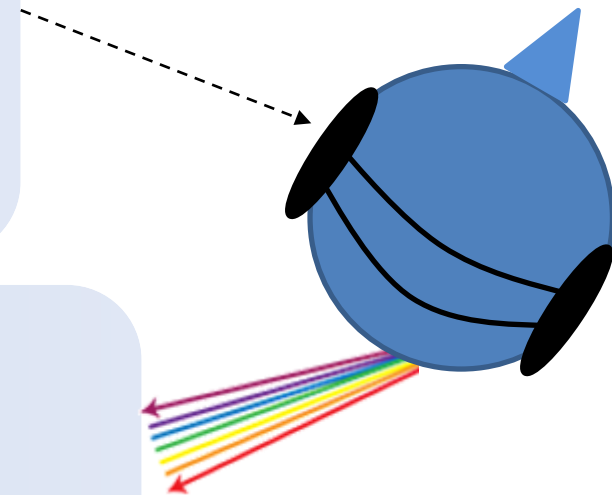
- How do we unweave the colours (wavelengths) of the FFR, especially with complex harmonic signals?

- source tools

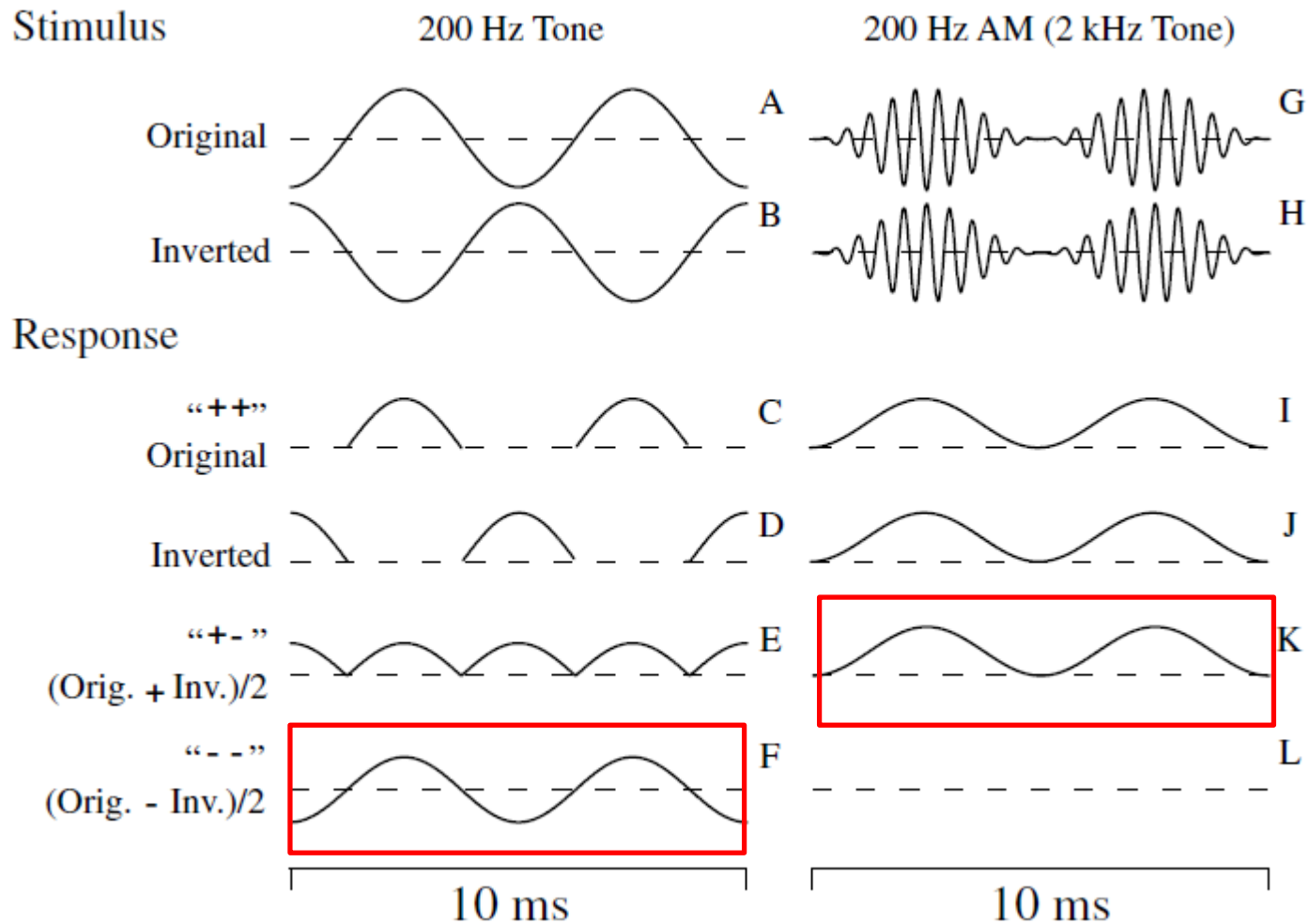
- carrier and modulation frequencies
- modulation depth and presentation level
- **stimulus polarity**
- **component phase**

- response tools

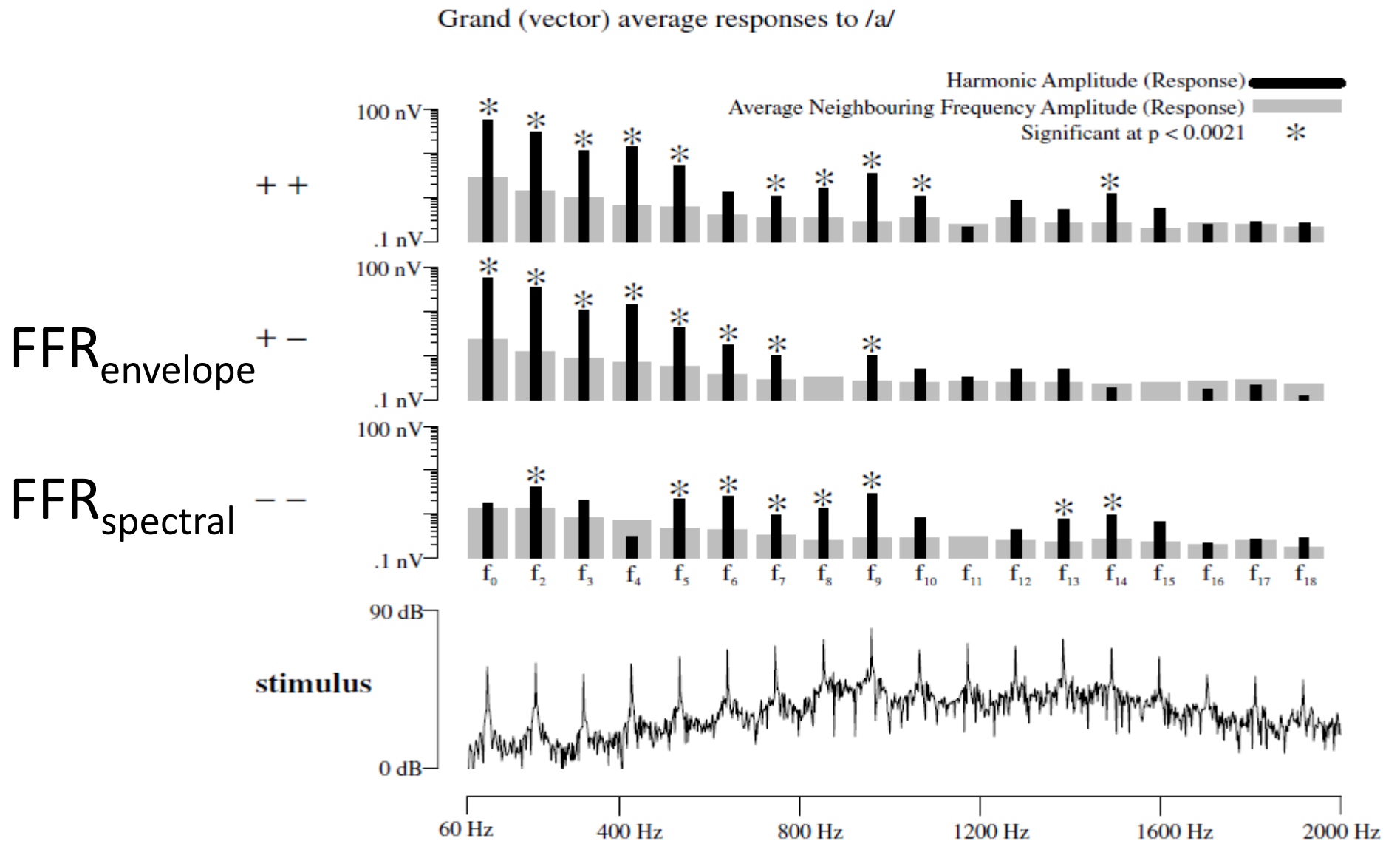
- recording montage, filtering
- amplitude, phase, PLV, autocorrelation
- in relation to frequency or frequency trajectory



# Using Polarity to Unweave Responses



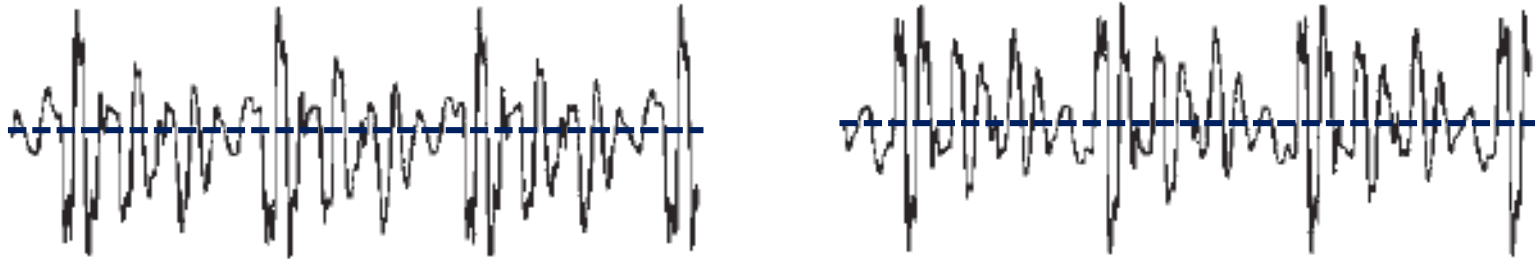
# Responses to Speech After Polarity Manipulation





# How Effective is Polarity for Unweaving?

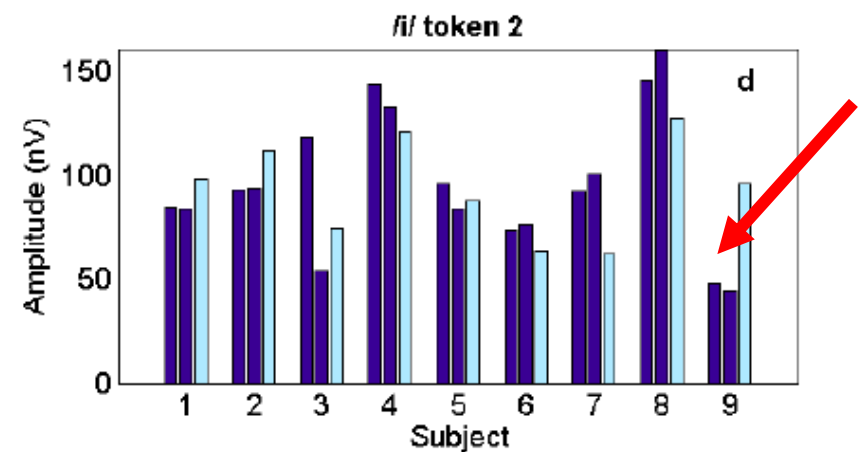
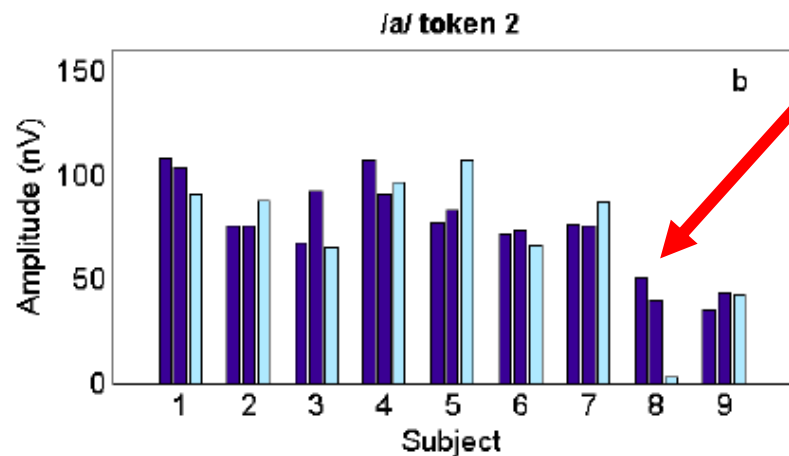
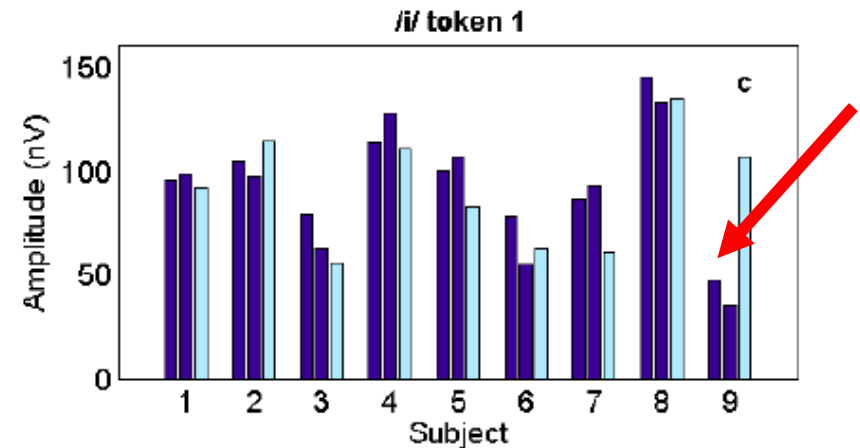
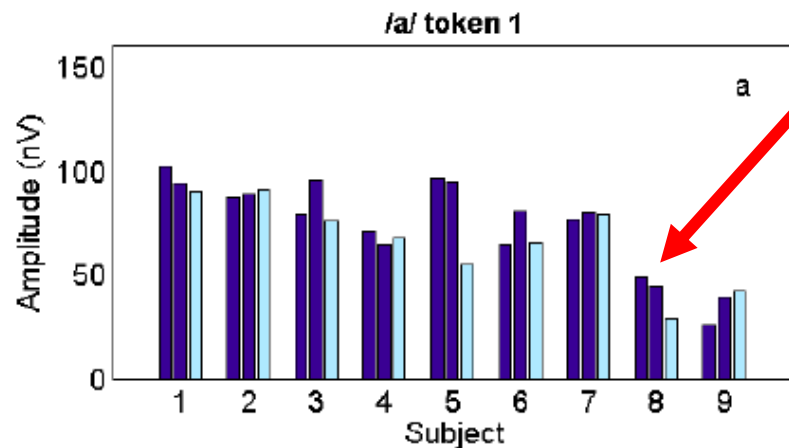
- Does the polarity manipulation work effectively for “unweaving” responses to asymmetric signals like speech?



- pseudo half-wave rectification in the AN response will be slightly different for each polarity (*Skoe & Kraus, Ear Hear, 2010*)
- imperfect ‘unweaving’:
  - e.g., alternating polarity average may contain some spectral FFR and show attenuated envelope FFR

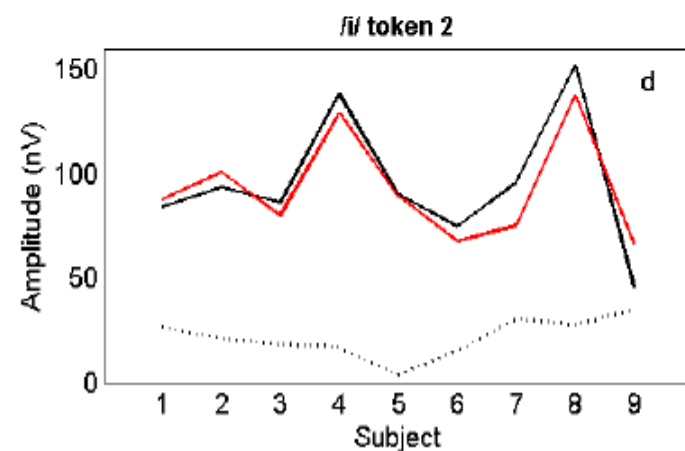
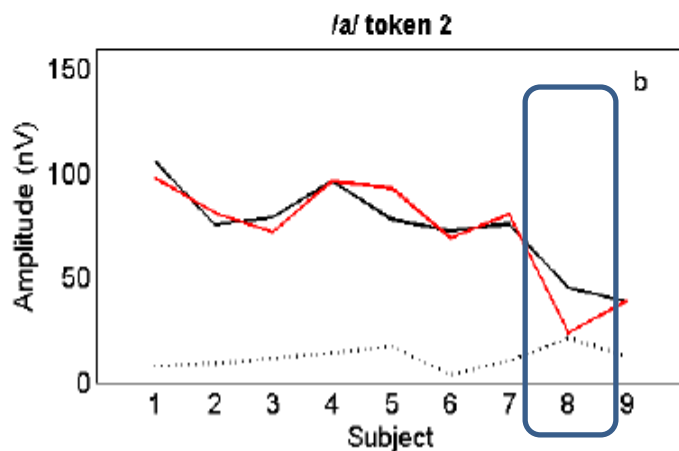
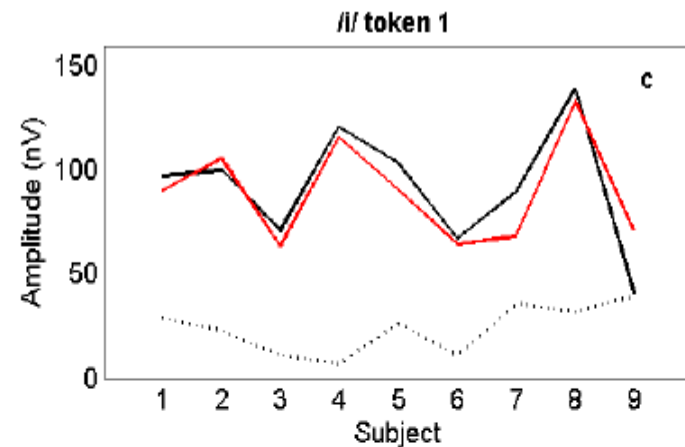
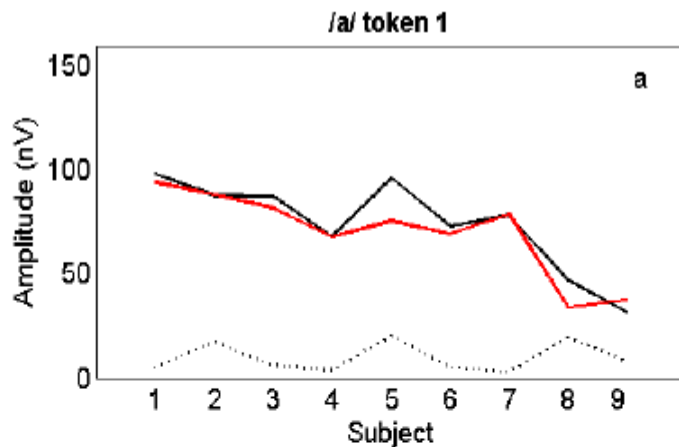
# How Effective is Polarity for Unweaving?

- responses to speech  $f_0$  in individual subjects
- dark blue bars = polarity A; light blue bars = polarity B



# How Effective is Polarity for Unweaving?

black = average (+ +); red = alt. polarity average (+ -)  
dotted = alt. polarity difference average (- -)



# How Effective is Polarity for Unweaving?

- successful in most cases, but individual polarities should be compared
  - continued work led by Dr. David Purcell and Viji Easwar at Western University
    - measured polarity effects for three vowels and two modulated consonants
    - measured separate responses to low and high harmonics
    - developed an envelope asymmetry index
    - asymmetry can be minimized
- see the poster!



# Using Polarity to Unweave Envelope and Spectral FFR

- Speech TFS supports:
  - phase-locked responses to resolved harmonic components (spectral FFR)
  - phase-locked responses to the periodicity envelope (envelope FFR)
- Combining polarities can help to unweave these two components:
  - adding responses to alternate polarities emphasizes envelope FFR (“+ –” average)
  - subtracting responses to alternate polarities emphasizes spectral FFR (“– –” average)
  - always check raw polarity responses



# Envelope FFR is More Clinically Useful

## Spectral FFR

cannot be recorded  
near threshold

cannot be recorded  
above  $\approx 1500$  Hz

difficult to distinguish  
from cochlear  
microphonic and signal  
artifact

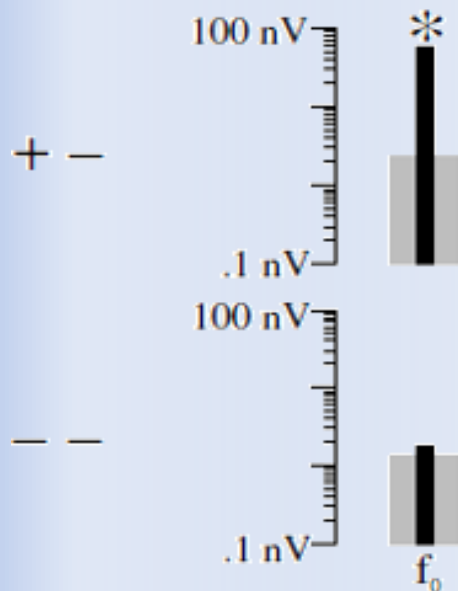
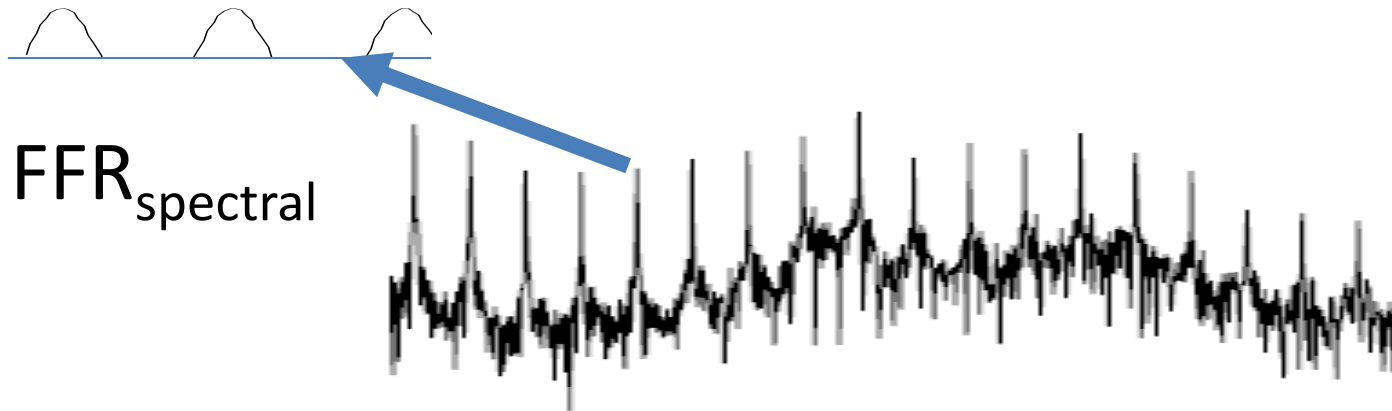
## Envelope FFR

can be recorded near  
threshold (see ASSR)

carrier frequencies can  
be  $> 1500$  Hz

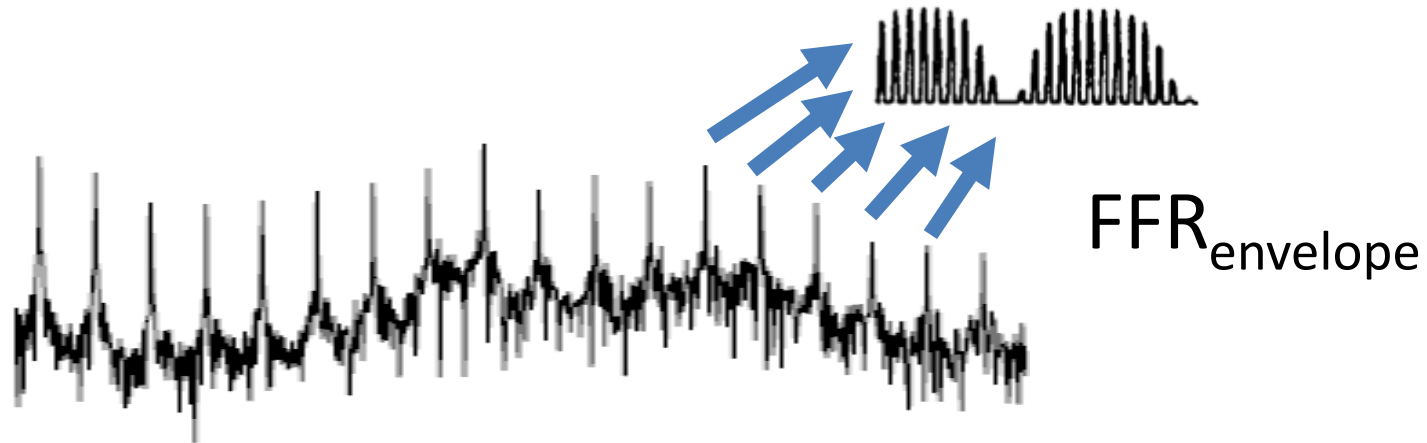
can be recorded with  
alternating polarities to  
reduce cochlear  
microphonic and  
artifact

# Further Limitations of Spectral FFR



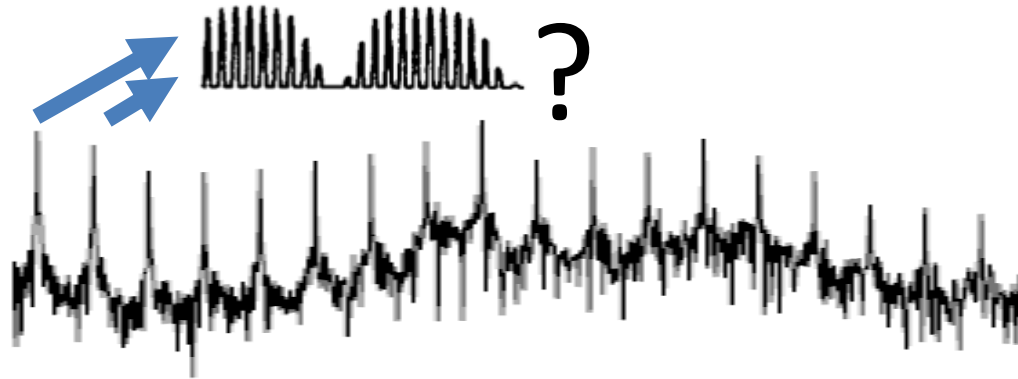
- response at  $f_0$  usually does NOT reflect energy at first harmonic
- response to low-frequency tones is primarily mediated by low-frequency tails of higher-CF fibers (*Ananthanarayan & Durrant, Ear Hear, 1992; Dau, J Acoust Soc Am, 2003*)
  - better synchrony at base of cochlea
  - high-level response

# Limitations of Envelope FFR for Speech



- $FFR_{\text{envelope}}$  not place specific – response at  $f_0$  likely reflects interactions of many harmonics
  - $FFR_{\text{envelope}}$  presumably arises from only unresolved harmonics
- Is there a clinically viable and objective way of assessing phase-locking to resolved harmonics?*

# Does $\text{FFR}_{\text{envelope}}$ arise from resolved harmonics?



- these components do not overlap on BM at low-moderate levels
- this would likely require interaction of phase-locked neural activity from different AN fibers (induced post-transduction)
  - plausible given the existence of cells in CN with broad frequency tuning and excellent envelope encoding (e.g., ‘onset’ or stellate cells) (*Frisina, Hear Res, 1990; Palmer et al., J Neurophysiol, 1996; Rhode & Greenberg, J Neurophysiol, 1994*)
  - no neurophysiological evidence that this occurs (*Joris et al., Physiol Rev, 2004*)
- models suggest  $\text{FFR}_{\text{envelope}}$  primarily from unresolved harmonics (*Shinn-Cunningham et al., Adv Exp Med Biol, 2013*)

# Evidence to the Contrary

- TMTF models for broadband noise require a bandwidth of 2-4 kHz (much broader than peripheral channels) suggesting temporal information must be combined across frequency channels

*(Moore, An Introduction to the Psychology of Hearing, 1997; Viemeister & Plack, Human Psychophysics, 1993)*

- $\text{FFR}_{\text{envelope}}$  at  $f_0$  for resolved and unresolved harmonics not different in quiet; significantly **larger** for resolved harmonics in noise *(Laroche et al., Hear Res, 2012)*

– i.e.,  $\text{FFR}_{\text{envelope}}$  at  $f_0$  is larger in response to components that should not be interacting on the BM



# Types of Responses to Harmonic Signals

## 1. $\text{FFR}_{\text{spectral}}$ to resolved stimulus frequencies and cochlear distortion products

- most apparent in “– –” average
- may be confused with cochlear microphonic and signal artifact

## 2. $\text{FFR}_{\text{envelope}}$ to unresolved stimulus frequencies

- most apparent in “+ –” average
- depends on phase-locking to modulation rate
- can be largely eradicated with quadrature phase

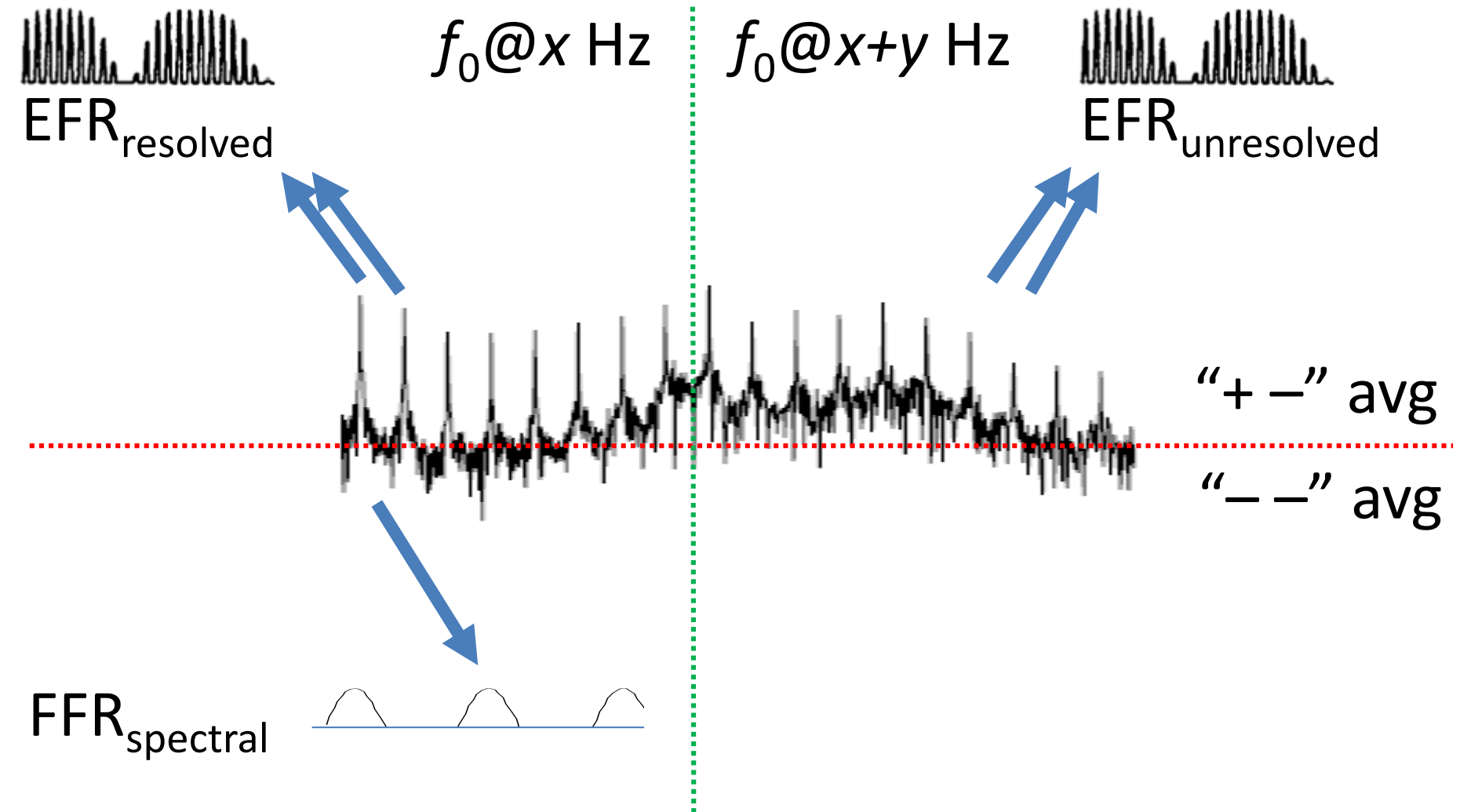
## 3. $\text{FFR}_{\text{envelope}}$ to resolved stimulus frequencies

- most apparent in “+ –” average
- appears to depend on phase-locking to carrier frequency and sidebands
- is not eradicated with quadrature phase
- might provide an estimate of phase-locking limits in auditory nerve
- perhaps an ideal physiologic measure of TFS

# Designing a Better Speech Stimulus

- Resolved and unresolved harmonics likely give rise to two types of activity
  - current research focused on isolating these with Allison MacEacheron at Dalhousie University
- use quadrature phase to remove cochlear-induced envelope components
- use different  $f_0$ s for low and high harmonics
  - response at each  $f_0$  tells us about encoding of that set of harmonics
  - this also provides place specificity of responses
  - see work with David Purcell and Viji Easawar at Western University using multiple  $f_0$ s (poster)

# Unweaving the Speech FFR





# References

# References

- Aiken, S.J., Purcell, D.P. (2013). Sensitivity to stimulus polarity in speech-evoked frequency-following responses. *Proc Meetings Acoust, ICA-ASA, Montreal, CA*.
- Aiken, S. J., Picton, T. W. (2006). Envelope following responses to natural vowels. *Audiol Neurotol, 11*, 213-232.
- Aiken, S. J., Picton, T. W. (2008). Envelope and spectral frequency-following responses to vowel sounds. *Hear Res, 245*, 35-47.
- Alain, C., Zendel, B. R., Hutka, S., et al. (2013). Turning Down the Noise: The Benefit of Musical Training on the Aging Auditory Brain. *Hearing Research*.
- Ananthanarayan, A. K., Durrant, J. D. (1992). The frequency-following response and the onset response: evaluation of frequency specificity using a forward-masking paradigm. *Ear Hear, 13*, 228-232.
- Anderson, S., Skoe, E., Chandrasekaran, B., et al. (2010). Brainstem correlates of speech-in-noise perception in children. *Hear Res, 270*, 151-157.
- Baker, R. J., Rosen, S. (2006). Auditory filter nonlinearity across frequency using simultaneous notched-noise masking. *J Acoust Soc Am, 119*, 454-462.



# References

- Bharadwaj, H. M., Verhulst, S., Shaheen, L., et al. (2014). Cochlear neuropathy and the coding of supra-threshold sound. *Front Syst Neurosci*, 8, 26.
- Buss, E., Hall, J. W., 3rd, Grose, J. H. (2004). Temporal fine-structure cues to speech and pure tone modulation in observers with sensorineural hearing loss. *Ear Hear*, 25, 242-250.
- Dau, T. (2003). The importance of cochlear processing for the formation of auditory brainstem and frequency following responses. *J Acoust Soc Am*, 113, 936-950.
- Elsisy, H., Krishnan, A. (2008). Comparison of the acoustic and neural distortion product at  $2f_1-f_2$  in normal-hearing adults. *Int J Audiol*, 47, 431-438.
- Frisina, R. D., Smith, R. L., Chamberlain, S. C. (1990a). Encoding of amplitude modulation in the gerbil cochlear nucleus: I. A hierarchy of enhancement. *Hear Res*, 44, 99-122.
- Frisina, R. D., Smith, R. L., Chamberlain, S. C. (1990b). Encoding of amplitude modulation in the gerbil cochlear nucleus: II. Possible neural mechanisms. *Hear Res*, 44, 123-141.
- Grant, K. W., Walden, B. E., Summers, V., et al. (2013). Introduction: auditory models of suprathreshold distortion in persons with impaired hearing. *J Am Acad Audiol*, 24, 254-257.

# References

- Greenberg, S., Marsh, J. T., Brown, W. S., et al. (1987). Neural temporal coding of low pitch. I. Human frequency-following responses to complex tones. *Hear Res*, 25, 91-114.
- Hopkins, K., Moore, B. C. (2009). The contribution of temporal fine structure to the intelligibility of speech in steady and modulated noise. *J Acoust Soc Am*, 125, 442-446.
- Humes, L. E., Dubno, J. R., Gordon-Salant, S., et al. (2012). Central presbycusis: a review and evaluation of the evidence. *J Am Acad Audiol*, 23, 635-666.
- Joris, P. X., Schreiner, C. E., Rees, A. (2004). Neural processing of amplitude-modulated sounds. *Physiol Rev*, 84, 541-577.
- Krishnan, A., Gandour, J. T., Bidelman, G. M. (2012). Experience-dependent plasticity in pitch encoding: from brainstem to auditory cortex. *Neuroreport*, 23, 498-502.
- Kujawa, S. G., Liberman, M. C. (2009). Adding insult to injury: cochlear nerve degeneration after "temporary" noise-induced hearing loss. *J Neurosci*, 29, 14077-14085.
- Laroche, M., Dajani, H. R., Prevost, F., et al. (2012). Brainstem Auditory Responses to Resolved and Unresolved Harmonics of a Synthetic Vowel in Quiet and Noise. *Ear Hear*.
- Lins, O. G., Picton, T. W., Boucher, B. L., et al. (1996). Frequency-specific audiometry using steady-state responses.

# References

- Liu, L., Wang, H., Shi, L., et al. (2012). Silent damage of noise on cochlear afferent innervation in guinea pigs and the impact on temporal processing. *PLoS One*, 7, e49550.
- Lorenzi, C., Gilbert, G., Carn, H., et al. (2006). Speech perception problems of the hearing impaired reflect inability to use temporal fine structure. *Proc Natl Acad Sci U S A*, 103, 18866-18869.
- Moore, B. C., Sek, A. (2009a). Development of a fast method for determining sensitivity to temporal fine structure. *Int J Audiol*, 48, 161-171.
- Moore, B. C., Sek, A. (2009b). Sensitivity of the human auditory system to temporal fine structure at high frequencies. *J Acoust Soc Am*, 125, 3186-3193.
- Moore, B. C., Glasberg, B. R., Hopkins, K. (2006). Frequency discrimination of complex tones by hearing-impaired subjects: Evidence for loss of ability to use temporal fine structure. *Hear Res*, 222, 16-27.
- Moore, B. C., Moore, B. C. (2003). *An introduction to the psychology of hearing*. Academic press San Diego.
- Moore, B. C., Sek, A. (1996). Detection of frequency modulation at low modulation rates: evidence for a mechanism based on phase locking. *J Acoust Soc Am*, 100, 2320-2331.

# References

- Moore, D. R., Rosen, S., Bamiau, D. E., et al. (2013). Evolving concepts of developmental auditory processing disorder (APD): a British Society of Audiology APD special interest group 'white paper'. *Int J Audiol*, 52, 3-13.
- Oxenham, A. J., Micheyl, C., Keebler, M. V. (2009). Can temporal fine structure represent the fundamental frequency of unresolved harmonics? *J Acoust Soc Am*, 125, 2189-2199.
- Palmer, A. R., Jiang, D., Marshall, D. H. (1996). Responses of ventral cochlear nucleus onset and chopper units as a function of signal bandwidth. *J Neurophysiol*, 75, 780-794.
- Plomp, R. (1986). A signal-to-noise ratio model for the speech-reception threshold of the hearing impaired. *J Speech Hear Res*, 29, 146-154.
- Rhode, W. S., Greenberg, S. (1994). Lateral suppression and inhibition in the cochlear nucleus of the cat. *J Neurophysiol*, 71, 493-514.
- Rosen, S. (1992). Temporal information in speech: acoustic, auditory and linguistic aspects. *Philos Trans R Soc Lond B Biol Sci*, 336, 367-373.
- Ruggles, D., Bharadwaj, H., Shinn-Cunningham, B. G. (2011). Normal hearing is not enough to guarantee robust encoding of suprathreshold features important in everyday communication. *Proc Natl Acad Sci U S A*, 108, 15516-15521.

# References

- Schorer, E. (1986). Critical modulation frequency based on detection of AM versus FM tones. *J Acoust Soc Am*, 79, 1054-1057.
- Sek, A., Moore, B. C. (1994). The critical modulation frequency and its relationship to auditory filtering at low frequencies. *J Acoust Soc Am*, 95, 2606-2615.
- Shinn-Cunningham, B., Ruggles, D. R., Bharadwaj, H. (2013). How early aging and environment interact in everyday listening: from brainstem to behavior through modeling. *Adv Exp Med Biol*, 787, 501-510.
- Skoe, E., Chandrasekaran, B., Spitzer, E. R., et al. (2014). Human brainstem plasticity: the interaction of stimulus probability and auditory learning. *Neurobiol Learn Mem*, 109, 82-93.
- Smith, Z. M., Delgutte, B., Oxenham, A. J. (2002). Chimaeric sounds reveal dichotomies in auditory perception. *Nature*, 416, 87-90.
- Song, J. H., Skoe, E., Banai, K., et al. (2011). Perception of speech in noise: neural correlates. *J Cogn Neurosci*, 23, 2268-2279.
- Summers, V., Makashay, M. J., Theodoroff, S. M., et al. (2013). Suprathreshold auditory processing and speech perception in noise: hearing-impaired and normal-hearing listeners. *J Am Acad Audiol*, 24, 274-292.
- Viemeister, N. F., Plack, C. J. (1993). Time analysis. In *Human psychophysics* (pp. 116-154): Springer.